

FACTS

WHAT DOES COSTELLO ASSET MANAGEMENT, INC. DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and Date of Birth
- Account Balances and Financial Account Numbers
- Investments and Employment Information

How?

All financial companies need to share customer's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer's personal information; the reasons Costello Asset Management, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Costello Asset Mgt. share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

To limit our sharing

- Call 215-856-9290

Please note:

If you are a *new* customer, we can begin sharing your information 1 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 215-856-9290

Who we are

Who is providing this notice?

Costello Asset Management, Inc. is providing this information

What we do

How does **Costello Asset Management** protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Costello Asset Management requires its employees to comply with its privacy policies which are designated to protect customer information.

How does **Costello Asset Management** collect my personal information?

We collect your personal information, for example, when you

- Open an account, withdraw or deposit money
- Direct us to buy securities or direct us to sell securities
- Tell us about your investment or retirement portfolio

We also collect your personal information from others, such as investment custodians or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Both have the same restricted sharing of data.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Costello Asset Management, Inc. has no affiliates.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Costello Asset Management, Inc. does not share with non-affiliates so they can market to you.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Costello Asset Management, Inc. does not jointly market.*

Other important information